

DOCKET NO.CGNE.099.03US

COMMISSIONERS PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 1 of 8

In re application of: Knauf et al. Examiner: Not Yet Assigned FOX Serial No. 09/232,861 Filed: January 15, 1999

Art Unit: 1649 1638

	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	Filing Date
A1	DF	4,532,207	7/30/85	Brewer et al.	435/60	3/3/83
A2	Ĩ	4,546,082	10/8/85	Kurjan et al.	435/172.3	6/17/82
A3		4,551,433	11/5/85	DeBoer et al.	435/253	1/11/82
A4		4,559,302	12/17/85	Ingola et al.	435/172.3	11/1/82
A5		4,801,540	1/31/89	Hiatt et al.	435/172.3	1/2/87
A6		4,943,674	7/24/90	Houck et al.	800/205	5/26/87
A7		5,034,322	7/23/91	Rogers et al.	435/172.3	4/5/89
A8	1,	5,420,034	5/30/95	Kridl et al.	435/240.4	8/8/91
A9	\bigvee	5,504,200	4/96	Hall et al.	536/24.1	2/94

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Filing Date Yes No
B 1	075	WO 84/02913	8/2/84	PCT		
B2		WO 84/02919	8/2/84	PCT		
В3	¥	WO 84/02920	8/2/84	PCT		

Date Considered: Examiner:/





DOCKET NO.CGNE.099.03US

COMMISSIONER OF SATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)
Sheet 2 of 8

In re application of: Knauf et al.

Art Unit: 4649— 16 36

Examiner: Not Yet Assigned

Serial No. 09/232,861

Filed: January 15, 1999

B7

class/subclass

Translation

84-200239.6 Europe

0116710

0116718

0120516 10/3/84

Europe Europe

Europe

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's	
Desig. Initials	
CI DI	Adelman et al., DNA (1983) 2: 183-193
C2	Akiyoshi et al., Proc. Natl. Acad. Sci. USA (1984) 81: 5994-5998
C3	Barker et al., Plant Mol. Biol. (1983) 2:335-350
C4	Barton et al., Plant Physiol. 1987 85: 1103-1109
C5	Barry et al., Proc. Natl. Acad. Sci. USA (1984) 81:4776-4780
C6	Beachy et al., EMBO J. (1985) 4:3047-3053
C7	Berent et al., BioTechniques (1985) 3:208-220
C8	Berlyn & Miksche, Botanical Microtechnique: Cytochemistry (1976), Iowa State Press
C9	Berry-Lowe et al., J. Mol. Appl. Genet. (1982) 1:483-498
C10	Bevan et al., Nature (1983) 304:184-187
C11 \(\frac{1}{4}\)	Birnboim & Doly, Nucleic Acids Res. (1979) 7:1513-1523

Examiner: Dans)

Date Considered:

127/00

5

PATENT

DOCKET NO.CGNE.099.03US

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 3 of 8_

In re application of: Knau	l ,
Serial No. 09/232,861	Examiner: Not Yet Assigned]]
Filed: January 15, 1999	Ì
C12 12	Boyer & Rouland-Dussiox, J. Mol. Biol. (1969) 41:459-472
C13	Broglie et al., Bio/Tech (1983) 1:55-61
C14	Chang & Cohen, J. Bacteriol. (1978) 134:1141-1156
C15	Chen et al., Proc. Natl. Acad. Sci. USA (1986) 83:8560-8564
C16	Chilton et al., Proc. Natl. Acad. Sci. USA (1974) 71:3672-3676
C17	Christianson et al., Science (1983) 222:632-634
C18	Colbert et al., Proc. Natl. Acad. Sci. USA (1983) 80:2248-2252
C19	Crouch & Sussex, Planta (1981) 153: 64-74
C20	Crouch et al., Mol. Form & Function of the Plant Genome, Eds. van Vloten-Doting,
	Groot & Hall, (1985), pp. 555-566
C21	Crouch et al., J. Mol. Appl. Genet. (1983) 2:273-283
C22	Currier & Nester, <u>J. Bacteriol.</u> (1976) 126: 157-165
C23	DeBlock et al., EMBO J. (1984) 3: 1681-1689
C24	DeCleene & LeLey, The Botanical Review (1976) 42: 389-466
C25	DeCleene, M., Phytopath. (1985) 113: 81-89
C26	Della Penna et al., Proc. Natl. Acad. Sci USA (1986) 83: 6420-6424
C27	Dellaporta et al., Plant Mol. Biol Rep. (1983) 1: 19-21
C28	Dente et al., Nucl. Acids. Res. (1983) 11: 1645-1655
C29	Ditta et al., Proc. Natl. Acad. Sci. USA (1980) 76: 1648-1652
C30	Eckes et al., Mol. Gen. Genet. (1986) 205: 14-22

Examiner: Wared 2. 1 Date Considered: 1/27/00



DOCKET NO.CGNE.099.03US

LESSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 4 of 8

In re application of: Kna	uf et al.] Art Unit: 1649 163
Serial No. 09/232,861 Filed: January 15, 1999	Examiner: Not Yer Assigned >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>
C31 DX	Facciotti et al., Biotechnology (1985) 3: 241-246
C32	Fehr & Caviness, "Stages of Soybean Development", Iowa State Coop. Ext. Serv.,
	Agric, and Home Econ. Expt. Stn Special Report 80 (1977)
C33	Fillatti et al., Mol. Gen. Genet. (1987) 206:192-199
C34	Fluhr et al., Science (1986) 232:1106-1112
C35	Fraley et al., Proc. Natl. Acad. Sci. USA (1983) 80: 4803-4807
C36	Gamborg et al.,. Exp. Cell Res. (1968) 50:151-158
C37	Gardner et al., Nuc. Acids Res. (1981) 9:2871-2888
C38	Garfinkel & Nestor, J. Bacteriol. (1980) 144: 732-743
C39	Goodman et al., Science (1987) 236:48-54
C40	Greenwood & Chrispeels, Plant Physiol. (1985) 79:65-71
C41	Grierson et al., Nucleic Acids Res. (1986) 14:8595-8603
C42	Gubler & Hoffman, Gene (1983) 25: 263-269
C43	Haas & Dowding, Meth. Enzymol. (1975) 43:611-628
C44	Hanahan et al., J. Mol. Biol. (1983) 166:557-580
C45	Hernalsteens et al., EMBO J. (1984) 3:3039-3041
C46	Herrera-Estrella et al., Nature (1984) 310:115-120
C47	Herrera-Estrella et al., EMBO J. (1983) 2:987-995
C48	Herrera-Estrella et al., Nature (1983) 303:209-213
C49	Higgins et al., J. Biol. (1986) 261:11124-11130

Examiner: Delected). Date Considered: 1/27/00



DOCKET NO.CGNE.099.03US

COMMINSTER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 5 of 8

In re application of: Kna	uf et al. Art Unit: 1649 /638 Examiner: Not Yet Assigned
Serial No. 09/232,861	Examiner: Not Tet Assigned 7
Filed: January 15, 1999	1
C50 D)	Hirsch & Beringer, Plasmid (1984) 12:139-141
C51	Hoekema et al., Nature (1983) 303: 179-181
C52	Hood et al., J. Bacteriol. (1986) 168:1291-1301
C53	Hood et al., Bio/Technology (1984) 2: 702-709
C54	Hooykaas-van Slugterenet et al., Nature (1984) 311:763-764
C55	Hopp & Woods, Proc. Natl. Acad. Sci. USA (1981) 78:3824-3828
C56	Horsch et al., Science (1984) 223:496-498
C57	Jefferson et al., Plant Mol. Biol. Rep. (1987) 5:387-405
C58	Jorgensen et al., Mol. Gen. Genet. (1979) 177:65-72
C59	Knauf & Nester, Plasmid (1982) 8:45-54
C60	Kuo & Ohlrogge, Arch. Biochem. Biophys. (1984) 234:290-296
C61	Larkins et al., J. Cell Biochem. (1985) Suppl. 9C:264
C62	Maniatis et al., Mol. Cloning: A Laboratory Manual (1982), CSH Laboratory, Cold
	Spring Harbor, NY
C63	Mansson et al., Mol. Gen Genet. (1985) 200:356-361
C64	Marsh et al., Gene (1984) 32:481-485
C65	Martineau & Taylor, Plant Physiol. (1986) 82:613-618
C66	McBride & Summerfelt, Plant Mol. Biol. (1990) 14: 269-276
C67	McCormick et al., Plant Cell Reports (1986) 5: 81-84
C68	Melton et al., Nuc. Acids Res. (1984) 12:7035-7056

Examiner: Date Considered: 1/27/00



DOCKET NO.CGNE.099.03US

COMMISSIONERS F PATENTS AND TRADEMARKS Washington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet <u>6</u> of <u>8</u>

In re application of: Kna	uf et al.] Art Unit: 1649- 635
Serial No. 09/232,861 Filed: January 15, 1999	Examiner: Not Yet Assigned Fox]]]
C69 DX	Meselson & Yuan, Nature (1968) 217:1110-1114
C70	Messing et al., Nuc. Acids Res (1981) 9:309-321
C71	Messing et al., Recomb. DNA Tech. Bulletin, NIH Pub. No. 79-9 (1979) 2:43-48
C72	Messing et al., Proc. Natl. Acad. Sci. USA (1977) 74: 3642-3646
C73	Miller et al., In: Molecular Genetics (1972) CSH Lab., Cold Spring Harbor, NY
C74	Murai et al., Science (1982) 222:476-482
C75	Norrander et al., Gene (1983) 26:101-106
C76	Ooms et al., Plasmid (1982) 7:15-29
C77	Otten & Schilperoort, Biochim. et Biophys. Acta (1978) 527:497-500
C78	Padgett et al., Ann. Rev. Biochem. (1986) 55:1119-1150
C79	Pedersen et al., Plant Cell Reports (1983) 2:201-204
C80	Piechulla et al., Plant. Mol. Biol. (1986) 7:367-376
C81	Proudfoot & Brownlee, Nature (1976) 263:211-214
C82	Radke et al., Plant Cell Reports (1992) 11:499-505
C83	Radke et al., Theor. App. Genet. (1988) 75:685-694
C84	Reiss et al., Gene (1984) 30:211-218
C85	Roberts & Kerr, Physiol. Plant Pathol. (1974) 4: 81-81
C86	Rose et al., Nucl. Acids Res. (1987) 15:7197
C87	Sanger et al., Proc. Natl. Acad. Sci. USA (1977) 74:5463-5467

Examiner: Date Considered: 1/27/00



COMMISSIONER OF PATENTS AND TRADEMARKS
Washington C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

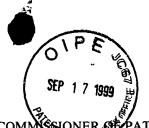
(Use several sheets if necessary)

Sheet _7 of _8

In re application of: Knau	1 64
Serial No. 09/232,861 Filed: January 15, 1999	Examiner: Not Yet Assigned
C88 777	Scherer & Knau, Plant Mold. Biol. (1987) 9:127-134
C89 1	Schreier et al., EMBO J. (1984)
C90	Scofield & Crouch, J. Biol. Chem. (1987) 262:12202-12208
C91	Sengupta-Gopalan et al., Proc. Natl. Acad. Sci. USA (1985) 82:3320-3324
C92	Sheehy et al., Mol. Gen. Genet. (1987) 208:30-36
C93	Shewmaker et al., Virology (1985) 140:281-288
C94	Simon et al., Plant Mol Biol. (1985) 5:191-201
C95	Singer et al., BioTechniques (1986) 4:230-241
C96	Slabas et al., "Enzymology of Plant Fatty Acid Biosynthesis", 7th Intl. Symposium of
	the Structure of the Structure & Function of Plant Lipids, Univ. California, Davis, CA
	(1986)
C97	Slater et al., Plant Mol Biol. (1985) 5:137-147
C98	Southern et al., J. Mol. Biol. (1975) 98:503-517
C99	Taub & Thompson, Anal. Biochem. (1982) 126:222-230
C100	Taylor & Martineau, Plant Physiol. (1986) 82:613-618
C101	Thomas et al., Proc. Natl. Acad. Sci. USA (1980) 77:5201-5205
C102	Thomashow et al., Cell (1980) 19:729-739
C103	Vieira & Messing, Gene (1982) 19:259-268
C104	Willmitzer et al., EMBO J. (1982) 1:139-146
C105	Willmitzer et al., Cell (1983) 32:1045-1056

Examiner: Date Considered: 127/00





DOCKET NO.CGNE.099.03US

COMMSSIONER OF PATENTS AND TRADEMARKS TRADEMARKS (1997) Ashington, D.C. 20231

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Sheet 8 of 8

	
In re application of: Knau	if et al.] Art Unit: 4649— 1638
	Examiner: Not Yet Assigned Fox
	Examiner: Dot Fet Assigned
Serial No. 09/232,861]
]
Filed: January 15, 1999	ĺ
C106	Wolff et al., Nuc. Acids Res. (1985) 13:355-367
C107	Wood et al., Proc. Natl. Acad. Sci. USA (1985) 82:1585-1588
C108	Yanisch-Perron et al., Gene (1985) 33:103-119
C109	Zambryski et al., EMBO J. (1983) 2:2143-2150
C110	Zoller & Smith, Nuc. Acids. Res. (1982) 10:6487-6500
C111 V	Schernthaner et al., EMBO J. (1988) 7(5):1249-1255

Examiner: Date Considered: 1/27/00